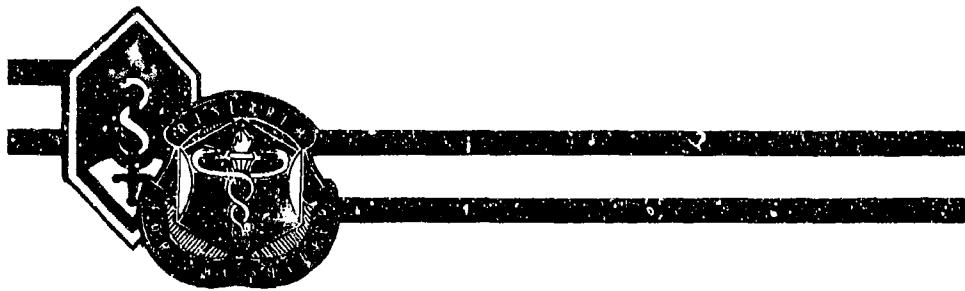


AD-A273 739



**A Comparison of Sleep Scored  
From Electroencephalography  
to Sleep Scored by Wrist Actigraphy**

By

J. Lynn Caldwell

and

John A. Caldwell, Jr.



Biomedical Applications Research Division

93-30105

September 1993

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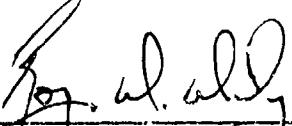
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REPORT DOCUMENTATION PAGE			
1a. REPORT SECURITY CLASSIFICATION UNCLASSIFIED		1b. RESTRICTIVE MARKINGS	
2a. SECURITY CLASSIFICATION AUTHORITY		3. DISTRIBUTION/AVAILABILITY OF REPORT Approved for public release; distribution unlimited	
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE			
4. PERFORMING ORGANIZATION REPORT NUMBER(S)  USAARL Report No. 93-32		5. MONITORING ORGANIZATION REPORT NUMBER(S)	
6a. NAME OF PERFORMING ORGANIZATION U.S. Army Aeromedical Research Laboratory		6b. OFFICE SYMBOL (If applicable) SGRD-UAR-CB	7a. NAME OF MONITORING ORGANIZATION U.S. Army Medical Research and Development Command
6c. ADDRESS (City, State, and ZIP Code) P.O. Box 620577 Fort Rucker, AL 36362-0577		7b. ADDRESS (City, State, and ZIP Code) Fort Detrick Frederick, MD 21702-5012	
8a. NAME OF FUNDING/SPONSORING ORGANIZATION		8b. OFFICE SYMBOL (If applicable)	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER
8c. ADDRESS (City, State, and ZIP Code)		10. SOURCE OF FUNDING NUMBERS	
		PROGRAM ELEMENT NO. 0602787A	PROJECT NO. 3E1627
		TASK NO. 87A879	WORK UNIT ACCESSION NO. BH
		165	
11. TITLE (include Security Classification) (U) A Comparison of Sleep Scored from Electroencephalography to Sleep Scored by Wrist Actigraphy			
12. PERSONAL AUTHOR(S) J.L. Caldwell and J.A. Caldwell			
13a. TYPE OF REPORT Technical Report	13b. TIME COVERED FROM 11/89 TO 2/90	14. DATE OF REPORT (Year, Month, Day) 1993 September	15. PAGE COUNT 25
16. SUPPLEMENTARY NOTATION			
17. COSATI CODES		18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)  sleep; WAM; wrist monitor; activity	
FIELD 06 23	GROUP 05 02		
19. ABSTRACT (Continue on reverse if necessary and identify by block number) During military operations, it has been found that soldiers often are required to work for long periods of time without rest. In order to assist commanders in determining how much rest soldiers receive, various methods of monitoring activity have been used. One unobtrusive method is to use wrist activity monitors (WAMs) to determine how long soldiers are required to work with little or no sleep. This study compared data from traditionally scored sleep using electroencephalographs (EEGs) to data from WAMs to determine the ability of WAMs to measure sleep. Based on this limited comparison study, it appears that the WAM is a good instrument to use to estimate sleep time when an EEG is not possible. The percent agreement between WAM sleep time and EEG sleep time is very high, with an average of almost 89 percent. The error tended to be in the direction of overestimation of sleep time by the WAM. Also, the current method of scoring (using a set cutoff of counts) is a suitable method. However, an overestimation of sleep most likely will occur when this method is used. Therefore, whenever actigraphs are used, a conservative interpretation of the data is to discuss "rest time" instead of "sleep time." In addition, the quality of sleep as determined by normal EEG sleep staging cannot be assessed by the WAM.			
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT <input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS		21. ABSTRACT SECURITY CLASSIFICATION UNCLASSIFIED	
22a. NAME OF RESPONSIBLE INDIVIDUAL C, Scientific Information Center		22b. TELEPHONE (Include Area Code) (205) 255-6907	22c. OFFICE SYMBOL SGRD-UAX-SI

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Acknowledgments

Several people were instrumental in helping collect and analyze the data for this study. SPC Cindy Nelson was instrumental in data collection. Dr. Carlos Comperatore and Ms. Jacquelyn Pearson helped during data collection and scoring of the sleep records.

## Introduction

During military operations, it has been found that soldiers often are required to work for long periods of time without rest. The lack of sleep experienced by soldiers degrades their ability to perform their duties efficiently, correctly, and, in some cases, safely. In order to assist commanders in determining how much rest soldiers receive, various methods of monitoring activity have been used. One unobtrusive method is to use wrist activity monitors (WAMs) to determine how long soldiers are required to work with little or no sleep. Although the WAMs appear to be able to accurately assess both work and sleep, the monitors currently being used by the Army have not been compared to EEG records where one can readily determine when a person is asleep and when he is awake. Before one can satisfactorily use the WAMs in the field for sleep assessments, a reliability study is necessary to determine if the monitors accurately discriminate awake from asleep activity.

The usual method of discriminating an awake state from an asleep state is by an electroencephalograph (EEG). This method involves attaching at least eight electrodes to the head with collodion and recording the electrical signals from the brain through amplifiers using a physiograph which produces a paper record of the data. A paper record of 8 hours of sleep is approximately 900 pages. In order to analyze these data, each of these 30-second pages are scored for stage of sleep--either awake, stage 1, stage 2, slow wave sleep (stages 3 and 4), or rapid eye movement (REM) sleep. Unfortunately, the use of EEG is both time consuming and expensive for the researcher and intrusive for the person being monitored. In addition, it is almost impossible to use with soldiers in a field environment because of the equipment required. Therefore, in order to assess rest and activity of people in a field environment, an alternative method to standard sleep recordings is needed.

A few researchers have compared EEG recordings with various types of wrist activity monitors and have determined there is a high correlation between the two measures. Mullaney, Kripke, and Messin (1980) collected EEGs and activity data from 102 subjects--63 nonpatients and 39 patients. The results of their recordings indicated sleep/wake estimations from EEGs and WAMs were in agreement in 94.5 percent of the 1-minute epochs. Their correlations for EEG sleep measures and actigraph measures were 0.93 for the time subjects were in bed, 0.89 for the time the subjects were asleep, 0.70 for awake time after sleep onset, and 0.25 for the number of midsleep awakenings. Usually the actigraph overestimated sleep time and missed several awakenings during the night. However, the actigraph measures were considered a good overall measure of sleep when the cost in time and simplicity were

compared to the effort and complexity required to obtain an EEG record of sleep.

Borbely (1986) also compared wrist activity to an EEG record to determine how well sleep could be estimated with the WAMs. He concluded that the WAM was a good noninvasive way to monitor sleep over a long period of time; however, the measure only approximates the amount of sleep time and cannot be used to determine the quality of sleep.

In summary, there appears to be a high correlation between EEG and actigraph measures. Thus, the potential for obtaining reasonable estimates of the amount of sleep based on wrist activity data is good. However, the method of scoring sleep from the WAM data remains varied.

In the earlier studies, most of the WAM data were scored based on a "best guess" to determine awake from sleep activity (Kripke et al., 1978; Mullaney, Kripke, and Messin, 1980; Borbely, 1986). Later, researchers developed automatic scoring systems for wrist activity data which relied less on subjective interpretations of the data. These scoring algorithms, discussed by Hauri and Wisbey (1992), initially use a computer to score sleep based on number of actigraph counts per epoch, but then require human judgement to derive the final determination of sleep vs awake. For example, one scoring algorithm developed by Webster and colleagues (1982) consists of the following: 1) after at least 4 minutes of data are scored as awake, the first 1 minute of data that looks like sleep is rescored as awake; 2) after at least 10 minutes of data are scored as awake, the first 3 minutes that look like sleep are rescored as awake; 3) after at least 15 minutes of data are scored as awake, the first 4 minutes of data that look like sleep are rescored as awake; 4) 6 minutes or less of data scored as sleep that are surrounded by at least 10 minutes, both before and after, of epochs scored awake are rescored awake; 5) 10 minutes or less that would be scored as sleep surrounded by at least 20 minutes, both before and after, of epochs scored as awake are rescored as awake. This is probably the most complex scoring method in use. Another scoring system, written into a computer program called Sleepest, has three options: 1) score each epoch as either awake or asleep regardless of what precedes or follows it; 2) score each epoch as either awake or asleep, but after at least 4 minutes of data scored awake, the first 1 minute of data that looks like sleep is rescored as awake; and 3) the same as the preceding method with the inclusion of the criteria that after at least 10 minutes of data scored as awake, the first 3 minutes that look like sleep are rescored as awake.

Sadeh and colleagues (1989) used a scoring method which involved examination of the number of zero threshold crossings in

each 1-minute epoch to determine awake and asleep. A discriminant analysis was used to validate this scoring procedure. Their results indicated a high degree of reliability in using wrist activity data to determine wake from sleep, even in clinical populations.

One of the wrist monitors currently in use by the Army has an automatic scoring program based on number of counts calculated per epoch (usually 30 seconds) which is similar to the first option described in the program Sleepest discussed above. After the results are calculated, the researcher reviews the scored data and subjectively corrects any obvious misscorings. For example, when the wrist monitor is taken off for the person to shower, the automatic scoring program scores this period as asleep since there are no movements. In this case, the researcher rescores the data as awake. This scoring method seems to work well; however, validation work is required to substantiate the existence of a reliable relationship between actigraph measures and EEG measures of sleep based on this scoring system.

#### Method

##### Subjects

Six subjects were recruited from the employees at the U.S. Army Aeromedical Research Laboratory. The data reported here were collected as part of a study to determine the ability of two different sleeping pills to aid daytime sleep. Each subject was informed about the purpose and the procedures of the study at the outset. The subject was told that participation was voluntary and that he/she could withdraw at any time without penalty. Any hazards associated with any of the procedures were explained fully to the subject both verbally and in writing, and after the consent form was signed (See Appendix A), the subject officially was admitted.

##### Apparatus

Grass\* silver silver/chloride electrodes were used to record electrical activity from the brain (EEG), muscles (EMG), and eyes (EOG). The signals were recorded by a Nihon Koden\* model EEG-4321P polygraph. EEGs were recorded from standard sites C<sub>3</sub>, C<sub>4</sub>, O<sub>1</sub>, and O<sub>2</sub> referenced to the contralateral mastoids using Grass\* E5SH silver cup electrodes. The high pass filter was set at 1 Hz and the low pass filter was set at 35 Hz. EOG was recorded from the outer canthus of each eye. The high pass filter was set at 0.3 Hz and the low pass filter was set at 10 Hz. Submental EMG

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\* See Appendix B for manufacturers' list.

was recorded with a high pass filter of 10 Hz and a low pass filter of 120 Hz. The 60 Hz notch filter was not used except when absolutely necessary. The paper speed was set at 10 mm per second. Collected data were recorded on standard paper traces for later analysis. (See Figure 1.)

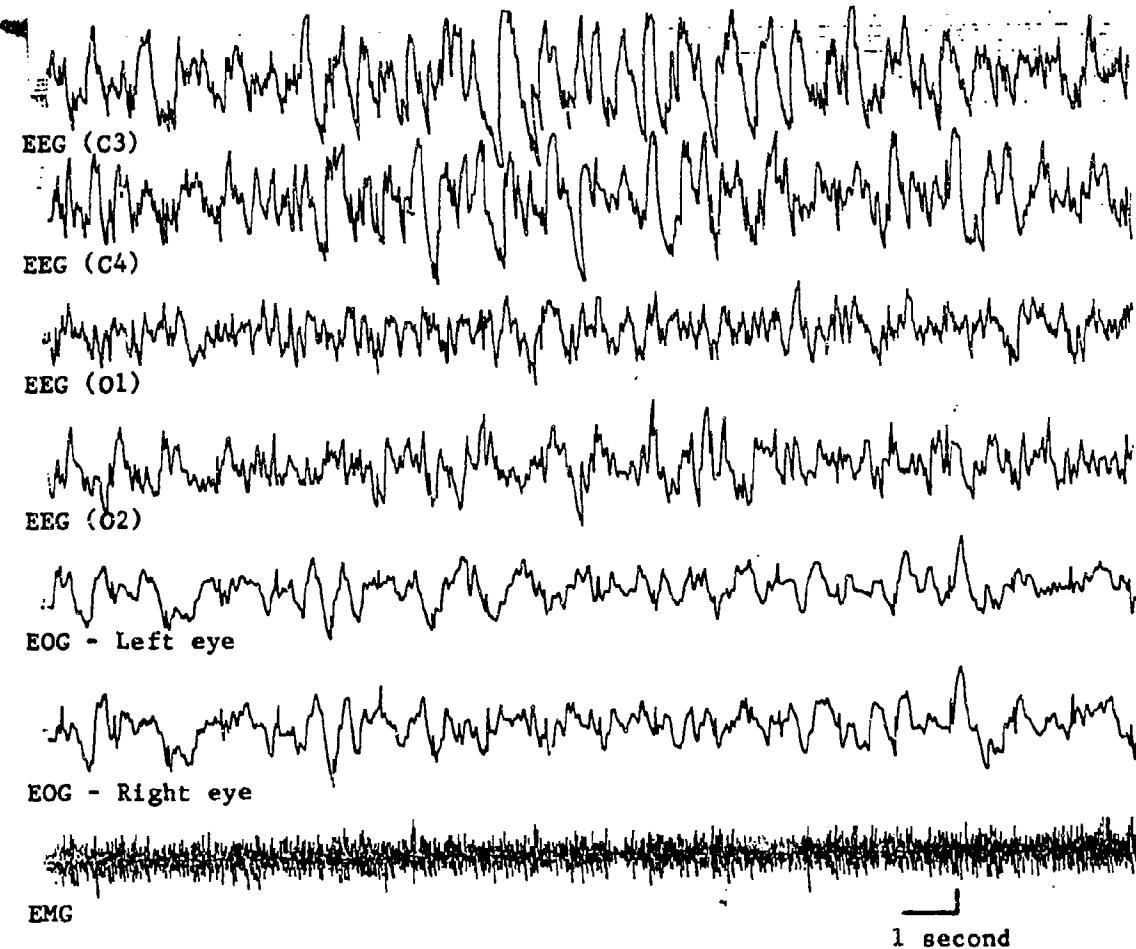


Figure 1. A sample of EEG sleep data.

The wrist activity monitor (WAM) used in this study was specifically designed by Precision Control Design\* (PCD) for field studies in order to measure the activity level of soldiers during continuous operations. (See Figure 2.) The exact design and specifications are explained by Redmond and Hegge (1985). The monitor weighs 3.5 oz and is affixed by two Velcro® straps to the nondominant wrist. The monitor records movements of the arm by a piezo-electric bender element. A low power computer chip records the number of activity counts per epoch and stores the data into a 16K resident memory. The data were transferred to a computer through an RS-232 link for future analysis. (See Figure 3.)

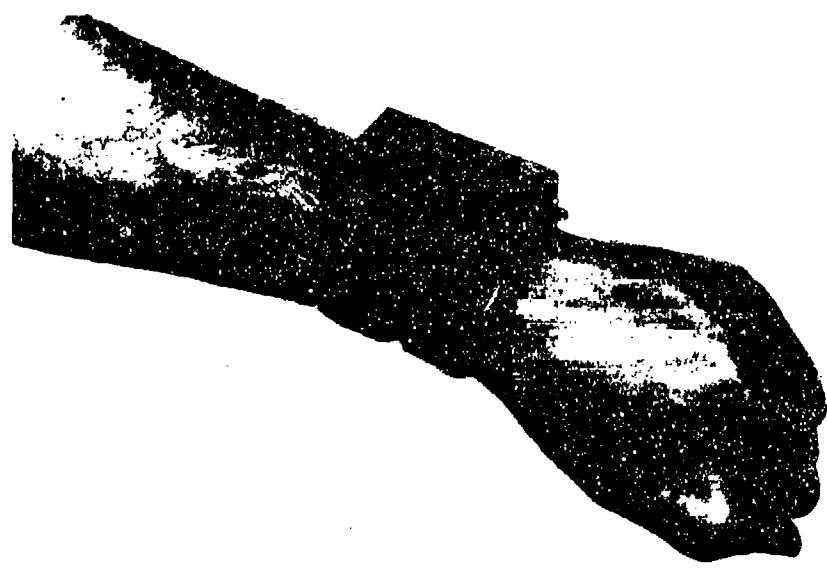


Figure 2. The wrist activity monitor (WAM).

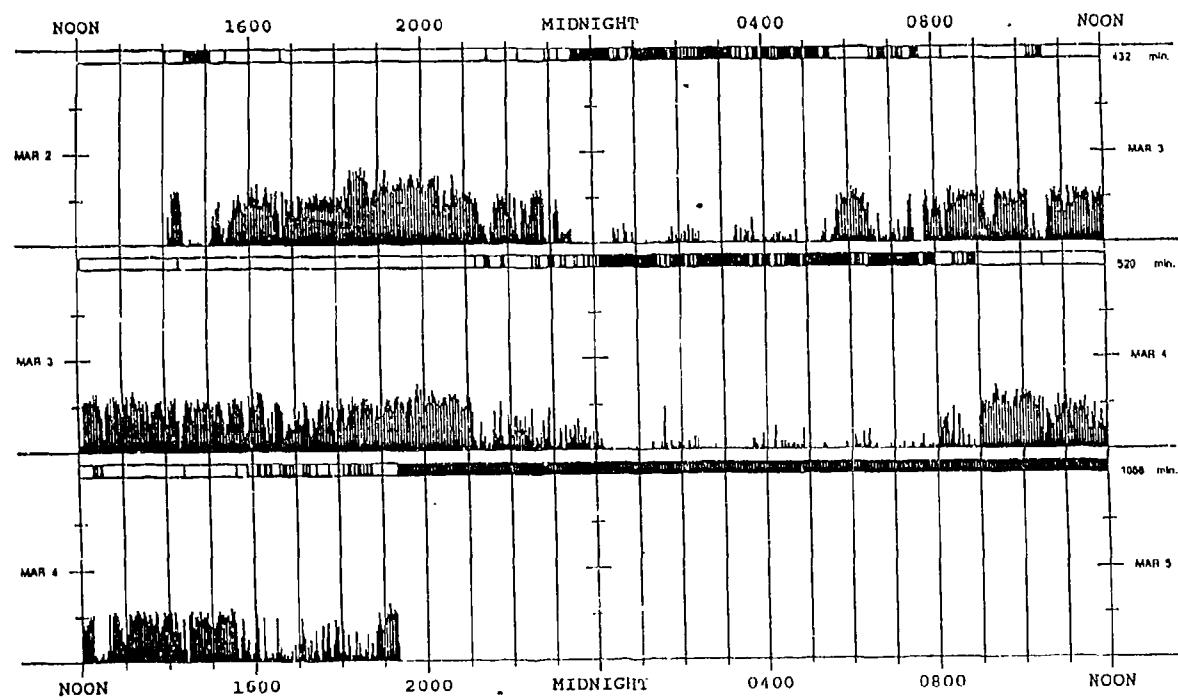


Figure 3. Graphed activity data from the WAM.

### Procedure

Subjects came to the laboratory at 0730 for electrode application. They were seated in a chair while the technicians measured for the electrode placements. EEG electrodes were placed at C<sub>3</sub>, C<sub>4</sub>, O<sub>1</sub>, and O<sub>2</sub>, according to the International 10-20 System. Acetone was used to reduce the impedance of the placement sites to below 10,000 Ohms, as recommended by Rechtschaffen and Kales (1968). Collodion was used to ensure the electrodes remained in place for the length of the study (2 days), and each electrode was filled with electrode gel. Active electrodes were referenced to the contralateral mastoid. Submental electromyography (EMG) was used to record muscle tension. Eye movements (EOG) were recorded from electrodes placed approximately 1 cm above the outer canthus of the left eye and approximately 1 cm below the outer canthus of the right eye.

Once the electrodes were satisfactorily applied, the wrist activity monitor was strapped to the subject's nondominant wrist. The clock on the WAM and the clock on the polygraph were synchronized to within 2 seconds in order to match the time base as much as possible using a separate clock for each device. The subject then was escorted to a private bedroom in the Laboratory and permitted to sleep. Lights out was at 0830. After at least 1.5 hours of sleep, the subject was awakened and performed cognitive tasks at a computer terminal for 30 minutes. Afterward, he/she returned to bed until he/she was awakened at 1700. If the subject woke up before 1700 and could not return to sleep, he/she was allowed to end the sleep period. Data were recorded for 2 consecutive days. The electrodes were removed each evening before the subject left the Laboratory, and the WAM was removed the afternoon following the second day of recording.

### Data analysis

Each 30-second epoch of each polysomnograph was scored by three trained sleep scorers following the guidelines set forth by Rechtschaffen and Kales (1968). The wrist activity data were scored by computer program which yielded activity counts per 30-second epoch. Data from the EEG and the WAMs were calculated across the entire sleep period. These data then were subjected to a discriminant analysis to classify the wrist activity data into awake and asleep categories based on the already scored EEG data, which was used to indicate whether the subject was either awake (epochs scored as awake or movement time) or asleep (stages 1, 2, 3, 4, and REM sleep). Based on the epochs of activity data classified as either awake or asleep from the discriminant analysis, a cutoff score based on the number of counts per 30-second epoch was determined and used in a scoring algorithm to then rescore the wrist activity data as either awake or asleep.

The number of minutes awake, number of minutes asleep, sleep onset time, number of minutes awake after sleep onset, and wakeup time then were scored from both the EEG and the WAM data, using the classification of awake and asleep from the discriminant analysis. The percent agreement for the number of epochs scored as awake and asleep by both sets of data then was calculated to determine the accuracy of the WAM in measuring sleep.

A second discriminant analysis was conducted in order to determine if the WAM data could be correctly classified into each of the appropriate individual stages of sleep. WAM counts were used to classify data into the categories of awake, sleep stages 1 through 4, REM sleep, and movement time as determined by the scored EEG data. Finally, correlations between EEG and WAM data for sleep onset time, wake-up time, time awake after sleep onset, and time asleep after sleep onset were calculated.

### Results

Due to technical problems, the first two subjects' WAM data were lost. Therefore, only four subjects' data were analyzed. The first discriminant analysis classified the WAM data into two groups, either awake or asleep, and accuracy was determined by comparing agreement between this classification and the one based on the EEG data. The percent of correct classifications of WAM data was 61.9 percent for the awake time, and 94.1 percent for the sleep time, giving an overall correct classification of 88.8 percent. This classification function indicated that counts on the WAM from 0 to 41 were classified as asleep, and counts higher than 41 were classified as awake.

After the cutoff value of 41 for sleep was determined by the discriminant analysis, the automatic scoring program for the WAM data was used to score the data as either awake or asleep using this value. Percent agreement for each subject's data (EEG vs activity) then was computed. The highest agreement for any subject's data was 97.6 percent, with the lowest agreement being 79.9 percent. The average percent agreement for all the subjects for both days was 88.7 percent ( $sd=6.2$ ).

Several comparisons of sleep time were made to determine agreement between the WAM and the EEG measures of sleep (Table 1). Sleep onset time (time from lights out to first epoch of stage 1) was calculated using both EEG and WAM. The average disagreement between these two methods was 3.1 minutes ( $sd=3.4$ ), with the WAM indicating a shorter sleep onset time than the EEG. Wakeup time also was calculated using both methods. The average disagreement for this measure was 7.3 minutes ( $sd=10.9$ ), with the WAM usually showing a slightly later wakeup time than the EEG. Agreement for number of minutes awake after sleep onset also was calculated.

The WAM tended to underestimate the amount of wake time after sleep onset, indicating an average of 29.4 minutes ( $sd=29.7$ ) more sleep after sleep onset than was determined by the EEG. The amount of sleep calculated after sleep onset also was overestimated by the WAM, where the WAM indicated an average of 38.9 minutes ( $sd=32.8$ ) more sleep than measured by the EEG.

Table 1.  
Difference in sleep parameters scored by WAM and EEG.

Subject	Day	Sleep+ onset	Wakeup+ onset	Sleep+ after sleep onset	Wake+ after sleep onset
3	1	0.5	0.0	1.5	- 4.0
3	2	0.0	1.5	13.5	-13.5
4	1	0.0	31.0	60.5	-60.5
4	2	1.0	0.5	23.5	-24.5
5	1	6.5	-1.5	-5.5	- 1.5
5	2	9.5	1.5	-88.5	93.0
6	1	-5.5	20.0	32.5	-27.0
6	2	-1.5	-2.0	-86.0	-11.5
Mean		3.6	7.3	38.9	29.4
sd		3.4	10.9	32.8	29.7

+ Difference = WAM time - EEG time; positive numbers equal a higher WAM time.

The number of awakenings of at least 1 minute duration was calculated for both the EEG and WAM. Overall, the WAM tended to overestimate the number of awakenings during the night in comparison with the EEG (Table 2). This may occur since a person may move during sleep and not awaken, but move enough to give a high number of counts on the WAM.

Table 2.  
Number of awakenings 1 minute or greater  
as scored by EEG and by WAM.

Subject	Day	WAM	EEG
3	1	5	0
3	2	1	1
4	1	7	4
4	2	1	5
5	1	4	0
5	2	9	0
6	1	7	6
6	2	6	4

A second discriminant analysis was conducted to determine the percent of correct classifications of the WAM data based on the groupings of awake, sleep stages 1 through 4, REM sleep, and movement time as indicated by the EEG data. The percent correct was 54.5 percent for the awake time, 26.8 percent for stage 1, 1.4 percent for stage 2, 74.3 percent for slow wave sleep (SWS), 5.0 percent for REM, and 46.2 percent for movement time, giving an overall correct classification of 16.4 percent.

Finally, the correlations between EEG scored sleep and WAM scored sleep were calculated. The correlations are presented in Table 3.

Table 3.  
Correlations between sleep scored by EEG and by WAM.

Parameter	r	p
Sleep onset	.31	.460
Wake-up time	.97	.001
Minutes awake after sleep onset	.85	.008
Minutes asleep after sleep onset	.81	.016

### Discussion

Based on this limited comparison study, it appears that the WAM is a good instrument to use to estimate sleep time when an EEG is not possible. The percent agreement between WAM sleep time and EEG sleep time is very high, with an average of almost 89 percent. The error tended to be in the direction of overestimation of sleep time by the WAM, results supportive of those found in the comparison study by Mullaney and associates (1980).

However, despite these encouraging results, this study found that the WAM cannot be used to determine the quality of sleep. The WAM missed some awakenings for some subjects, and overestimated the number of awakenings in other subjects. These brief arousals during the night offer one measure of sleep quality, a measure which the WAM apparently is unable to reliably calculate. In addition, when sleep stages based on the EEG and the WAM were correlated, there was no evidence that the data collected from the WAM had any relationship to traditionally scored stages of sleep. The overall agreement between WAM counts and sleep stages was 16.4 percent, with the best classification being for SWS. The WAM may be able to estimate slow wave sleep better than the other stages since this stage of sleep is a quieter stage than the others, with little to no movement occurring during this period (Carskadon and Dement, 1989).

One must keep in mind that the data examined in this study were limited to the sleep period plus a 30-minute period during which the subject was awakened from sleep to perform cognitive tests. Since no EEG data were collected during the wake period, no comparisons could be made between EEG and WAM data during the wake time. Based on the experience of this Laboratory, the awake data from the WAM may be underestimated since some activity during the day (i.e., watching TV, reading, working at a desk) will give low activity counts which will be scored as sleep with an automatic sleep scoring algorithm. This is where the subjective corrections are applied in the present scoring procedure. However, unless the subject keeps a very good diary of activity, the experimenter may rescore low activity as awake, when in fact, the person took a nap during that time. However, no comparisons of this activity period were made in the present study.

In summary, it appears that the WAM is a good tool for estimating the amount of sleep a person receives in situations where an EEG recording is not possible or is too expensive and time consuming. The current method of scoring (using a set cutoff of counts) is a suitable method. However, an overestimation of sleep most likely will occur when this method is used. Therefore whenever actigraphs are used, a conservative interpretation of the data is to discuss "rest time" instead of "sleep time." In

addition, the quality of sleep as determined by normal EEG sleep staging cannot be assessed by the WAM.

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Appendix A.  
Volunteer consent forms.

# VOLUNTEER REGISTRY DATA SHEET

THIS FORM IS AFFECTED BY THE PRIVACY ACT OF 1974

1. AUTHORITY: 5 USC 301; 10 USC 1071-1090; 44 USC 3101; EO 9397
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3. Mandatory or Voluntary Disclosure: The furnishing of the SSN is mandatory and necessary to provide identification and to contact you if future information indicates that your health may be adversely affected. Failure to provide the information may preclude your participation in the research study.

## PART A-INVESTIGATOR INFORMATION (To Be Completed By Investigator)

PLEASE PRINT, USING INK OR BALLPOINT PEN

1. Study NR: \_\_\_\_\_
2. Protocol Title: Ability to return to sleep upon midsleep awakening using triazolam and temazepam
3. Contractor (Laboratory/Institute Conducting Study): USAARL
4. Study Period: From: 01/ / To: 15/ /  
(DA/MO/YR) (DA/MO/YR)

5. Principal/Other Investigator(s) Name(s)

(1) Caldwell J. Lynn Fort Rucker/ USAARL  
(Last) (First) (MI)

(2) Comperatore Carlos Fort Rucker/ USAARL  
(Last) (First) (MI)

(3) \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

6. Location/Laboratory

## PART B-VOLUNTEER INFORMATION (To Be Completed By Volunteer)

PLEASE PRINT, USING INK OR BALLPOINT PEN

7. SSN: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ 8. Name: \_\_\_\_\_  
(Last) (First) (MI)

9. Sex: M F 10. Date of Birth: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ 11. \*MOS/Job Series: \_\_\_\_\_ 12. \*Rank/Grade: \_\_\_\_\_

13. Permanent Home Address (Home of Record) or Study Location Address:

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(City) (Country) (State) (Zip Code)  
(Perm Home Phone No.)

14. \*Local Address (If Different From Permanent Address):

(Street) (P.O. Box/Apartment No.)  
(City) (Country) (State) (Zip Code)  
(Local Phone No.)

15. \*Military Unit: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Organization: \_\_\_\_\_ Pos. \_\_\_\_\_ Duty Phone No. ( ) \_\_\_\_\_

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**PART C-ADDITIONAL INFORMATION**  
*(To Be Completed By Investigator)*

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PLEASE PRINT, USING INK OR BALLPOINT PEN

16. Location of Study:

17. Is Study Completed: Y    N   

Did volunteer finish participation: Y    N    If YES, Date finished:   /  /    
(DA/MO/YR)

If NO, Date withdrawn:   /  /    
(DA/MO/YR) Reason withdrawn:

18. Did Any Serious or Unexpected Adverse Incident or Reaction Occur: Y    N    If YES, Explain:

19.\*Volunteer Followup: \_\_\_\_\_

Purpose: \_\_\_\_\_

Date:   /  /   Was contact made: Y    N    If No action taken, explain:  
(DA/MO/YR)

20.\*Hard Copy Records Retired: Place: \_\_\_\_\_ File NR: \_\_\_\_\_

21.\*Product Information:

Product: \_\_\_\_\_

Manufacturer: \_\_\_\_\_

Lot NR: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

NDA NR: \_\_\_\_\_ IND/IDE NR: \_\_\_\_\_

\*Indicates that item may be left blank if information is unavailable or does not apply.

Entries must be made for all other items.

## VOLUNTEER AGREEMENT AFFIDAVIT

For use of this form, see AR 70-25 or AR 40-38. The proponent agency is OTSG

### PRIVACY ACT OF 1974

Authority: 10 USC 3013, 44 USC 3101, and 10 USC 1071-1087

Principle Purpose: To document voluntary participation in the Clinical Investigation and Research Program. SSN and home address will be used for identification and locating purposes.

Routine Uses: The SSN and home address will be used for identification and locating purposes. Information derived from the study will be used to document the study; implementation of medical programs; adjudication of claims; and for the mandatory reporting of medical conditions as required by law. Information may be furnished to Federal, State and local agencies.

Disclosure: The furnishing of your SSN and home address is mandatory and necessary to provide identification and to contact you if future information indicates that your health may be adversely affected. Failure to provide the information may preclude your voluntary participation in this investigational study.

### PART A(1) - VOLUNTEER AFFIDAVIT

#### Volunteer Subjects in Approved Department of the Army Research Studies

Volunteers under the provisions of AR 40-38 and AR 70-25 are authorized all necessary medical care for injury or disease which is the proximate result of their participation in such studies.

I, \_\_\_\_\_, SSN \_\_\_\_\_, having full capacity to consent and having attained my \_\_\_\_\_ birthday, do hereby volunteer/give consent as legal representative for \_\_\_\_\_ to participate in \_\_\_\_\_ the study entitle "Ability to return to sleep upon midsleep <sup>(Research Study)</sup> awakening using triazolam and temazepam" \_\_\_\_\_ under the direction of Dr. J. Lynn Caldwell \_\_\_\_\_ conducted at USAARL/ Fort Rucker, AL \_\_\_\_\_ (Name of Institution)

The implications of my voluntary participation/consent as legal representative; duration and purpose of the research study; the methods and means by which it is to be conducted; and the inconveniences and hazards that may reasonably be expected have been explained to me by

Dr. Caldwell

I have been given an opportunity to ask questions concerning this investigational study. Any such questions were answered to my full and complete satisfaction. Should any further questions arise concerning my rights/the rights of the person I represent on study-related injury, I may contact

LTC George Sisson, Command Judge Advocate General

at HQ USAMRDC, Ft Detrick, Frederick, MD AV 343-2065 Com 301-663-2065  
(Name, Address and Phone Number of Hospital (Include Area Code))

I understand that I may at any time during the course of this study revoke my consent and withdraw/have the person I represent withdrawn from the study without further penalty or loss of benefits; however, the person I represent may be required (military volunteer) or requested (civilian volunteer) to undergo certain examination(s), in the opinion of the attending physician, such examinations are necessary for my/the person I represent's health and well-being. My/the person I represent's refusal to participate will involve no penalty or loss of benefit, to which I am/the person I represent is otherwise entitled.

### PART A (2) - ASSENT VOLUNTEER AFFIDAVIT (MINOR CHILD)

I, \_\_\_\_\_, SSN \_\_\_\_\_, having full capacity to assent and having attained my \_\_\_\_\_ birthday, do hereby volunteer for \_\_\_\_\_ to participate in \_\_\_\_\_ <sup>(Research Study)</sup>

under the direction of \_\_\_\_\_ conducted at \_\_\_\_\_  
(Name of Institution)

(Continue on Reverse)

---

PART A(2) - ASSENT VOLUNTEER AFFIDAVIT (MINOR CHILD) (Cont'd.)

---

The implications of my voluntary participation; the nature, duration and purpose of the research study; the methods and means by which it is to be conducted; and the inconveniences and hazards that may reasonably be expected have been explained to me by \_\_\_\_\_

---

I have been given an opportunity to ask questions concerning this investigational study. Any such questions were answered to my full and complete satisfaction. Should any further questions arise concerning my rights I may contact \_\_\_\_\_

---

at \_\_\_\_\_

(Name, Address, and Phone Number of Hospital (Include Area Code))

I understand that I may at any time during the course of this study revoke my assent and withdraw from the study without further penalty or loss of benefits; however, I may be requested to undergo certain examination if, in the opinion of the attending physician, such examinations are necessary for my health and well-being. My refusal to participate will involve no penalty or loss of benefits to which I am otherwise entitled.

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PART B - TO BE COMPLETED BY INVESTIGATOR

---

INSTRUCTIONS FOR ELEMENTS OF INFORMED CONSENT: (Provide a detailed explanation in accordance with Appendix C, AR 40-18 or AR 70-26.)

See Attached Sheets.

---

I do  do not  (check one & initial) consent to the inclusion of this form in my outpatient medical treatment record.

---

SIGNATURE OF VOLUNTEER	DATE	SIGNATURE OF LEGAL GUARDIAN (If volunteer is a minor)
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PERMANENT ADDRESS OF VOLUNTEER	TYPED NAME OF WITNESS	
	SIGNATURE OF WITNESS	DATE

---

REVERSE OF DA FORM 5303-R, MAY 89.

You are being asked to participate in a study which will determine the ability of a person to return to daytime sleep after being awakened during the sleep period. You will take either Halcion (triazolam) or Restoril (temazepam) to help induce sleep.

Additionally, you will be required to remain awake each night before your test days and to sleep in the laboratory during the day.

You are to come to the laboratory in advance of your test days in order to undergo a medical screen for possible sensitivities to benzodiazepines and any other medical situation which may be complicated by use of a benzodiazepine. After the medical monitor has approved your participation in the study, you will be scheduled for two days of participation. During that time, you will stay awake during the night and sleep during the day with the help of a benzodiazepine. On your scheduled visits, you are to come to the laboratory at 0700, sleep during the day, and you will be released at approximately 1700. You should return to the laboratory at 0700 the next day for the same procedure.

In order to monitor your activity throughout the study period, you will be asked to wear a small activity monitor on your wrist. This monitor records wrist movements which are an indication of your general level of activity. This will aid us in assessing how well you are able to stay awake at night and sleep during the day. Whenever you take a shower or participate in heavy physical activity (weight lifting, basketball, etc.), remove the monitor, and then replace it whenever you have finished the activity, recording the time you removed it and the time you replaced it. The monitor is very sensitive to water and to bumps.

In order to monitor your daytime sleep, we will connect small sensors to your scalp which will permit us to monitor the electrical activity from your brain. Before the sensors are applied, your scalp will be cleaned with acetone to ensure good sensor placement. The sensors will be attached with collodion and filled with electrode gel to aid in recording of your brain waves. You may feel a slight discomfort when your scalp is cleaned, however, this will be very mild and will dissipate rapidly. If you feel any irritation once the sensors are removed, an emollient with an antibiotic will be applied to the affected area(s). Once the sensors are attached, you will be administered the sleeping aid assigned to you and then taken to a private bedroom. You will sleep until awakened, after which you will be required to take some computerized tests. These tests will last approximately 30 minutes, after which you will be allowed to return to bed and sleep for the remainder of the sleep period. At the end of the

Participant's Initials \_\_\_\_\_

Witness's Initials \_\_\_\_\_

sleep period, you will be awakened, the sensors will be removed, and you will be questioned by the medical monitor for any possible side effects from the medication. After release by the medical monitor, you are free to return to your home. You should have someone come to take you home or we will provide you a driver to take you home. You should not operate any equipment such as automobiles, lawn mowers, heavy equipment, etc. Additionally, you must not consume any alcoholic beverages during the night. At 0700 you will return to the laboratory for the second day of tests. Again, someone should drive you to the laboratory or we will provide a driver for you. This day will be exactly like the first day. After awakening at the end of the sleep period, you will once again be checked by the medical monitor for any side effects from the medication. Upon release by the medical monitor, we will provide you with a driver to take you to your home. Again, you should not operate any automobiles, lawn mowers, heavy equipment, etc., or consume alcoholic beverages for at least 10 hours after leaving the laboratory. If you feel any discomfort upon returning home and for 2 days after your final visit to the laboratory, call one of the numbers provided to you.

The medications which you will receive are standard sleep medications prescribed for people who have problems sleeping. As with any medication, there is the slight possibility of side effects. Please note the possible adverse effects of each of the medications listed below.

Halcion: When taken without going to sleep, the known central nervous system (CNS) effects of triazolam are drowsiness, headache, dizziness, nervousness, lightheadedness, and coordination disorder. Nausea and vomiting have also been known to occur in less than 5% of the patients reporting symptoms. In less than 1% of patients, euphoria, tachycardia, tiredness, confusional states/memory impairment, cramps/pain, depression, and visual disturbances have been reported. In less than 0.5% of patients, constipation, taste alterations, diarrhea, dry mouth, dermatitis/allergy, dreaming/nightmares, insomnia, paresthesia, tinnitus, dysesthesia, weakness, congestion, death from hepatic failure have been reported. Additionally, the following symptoms have been reported at least once during the use of triazolam: anterograde amnesia with appropriate or inappropriate behavior, disorientation, derealization, depersonalization, clouding of consciousness, dystonia, anorexia, fatigue, sedation, slurred speech, jaundice, pruritus, dysarthria, changes in libido, menstrual irregularities, incontinence, and urinary retention. Factors which may contribute to some of these reactions include concomitant intake of alcohol or other drugs, sleep deprivation,

Participant's Initials \_\_\_\_\_

Witness's Initials \_\_\_\_\_

or an abnormal premorbid state. Other events reported include restlessness, irritability, excitation, increased muscle spasticity, sleep disturbances, hallucinations, aggressiveness, falling, somnambulism, inappropriate behavior and other adverse behavioral effects.

Restoril: The most common adverse reactions reported after consuming temazepam and not sleeping were drowsiness, dizziness, and lethargy. Other effects which have been reported include confusion, euphoria and relaxed feeling. Less commonly reported were weakness, anorexia and diarrhea, with tremor, ataxia, lack of concentration, loss of equilibrium, falling, and palpitations being rarely reported. Hallucinations, horizontal nystagmus and paradoxical reactions (excitement, stimulation and hyperactivity) were reported in less than 0.5% of the cases. If temazepam is combined with other drugs having known hypnotic properties or CNS-depressant effects, additive effects are a potential result.

Please note the following requirements for your safety:

1. Do not consume any alcoholic beverages at least 24 hours before your scheduled appointments and at least 10 hours after your final release from the laboratory.
2. Do not take any medications at least 24 hours before your scheduled appointments and at least 24 hours after your final release from the laboratory.
3. Do not operate any automobiles, heavy equipment, lawn mowers, etc., at least 10 hours after your final release from the laboratory.
4. Do not participate in any activities which may require sound judgement or important decisions at least 10 hours after your final release from the laboratory.

The risks associated with this protocol are listed in the contraindications of each of the medications you may take. There are no risks associated with wearing the wrist monitor. The risk associated with EEG recordings is a possibility of slight skin irritation from wearing the sensors on your scalp. This irritation will be treated with standard skin lotion.

Participant's Initials \_\_\_\_\_

Witness's Initials \_\_\_\_\_

You may withdraw from the study at any time without prejudice. If you choose to complete the study, the benefits to you include an assessment of your sleep quality after taking a sleep medication, as well as a contribution to the design of future sleep studies. None of the information obtained from this study which identifies you in any way will be released without your express consent. All names and other identifying information will be removed from all records and replaced with a subject number for future identification.

Should any question/problems occur during the period of the study, you may reach Dr. Lynn Caldwell at 255-6857 between 0700 and 1630, and at 1-735-3344 at other hours.

I have received a copy of this consent form.

Participant's Initials \_\_\_\_\_

Witness's Initials \_\_\_\_\_

Appendix B  
Manufacturers' list.

Precision Control Design, Inc.  
646A Anchors St.  
Fort Walton Beach, FL 32548

Grass Instrument Company  
101 Old Colony Avenue  
P.O. Box 514  
Quincy, MA 02169

Nihon Koden (American), Inc.  
17112 Armstrong Avenue  
Irvine, CA 92714

Initial distribution

**Commander, U.S. Army Natick Research,  
Development and Engineering Center**  
**ATTN: SATNC-MIL (Documents  
Librarian)**  
Natick, MA 01760-5040

**U.S. Army Communications-Electronics  
Command**  
**ATTN: AMSEL-RD-ESA-D**  
Fort Monmouth, NJ 07703

**Commander  
10th Medical Laboratory**  
**ATTN: Audiologist**  
APO New York 09180

**Naval Air Development Center  
Technical Information Division  
Technical Support Detachment**  
Warminster, PA 18974

**Commanding Officer, Naval Medical  
Research and Development Command**  
**National Naval Medical Center**  
Bethesda, MD 20814-5044

**Deputy Director, Defense Research  
and Engineering**  
**ATTN: Military Assistant  
for Medical and Life Sciences**  
Washington, DC 20301-3080

**Commander, U.S. Army Research  
Institute of Environmental Medicine**  
Natick, MA 01760

**Library**  
Naval Submarine Medical Research Lab  
Box 900, Naval Sub Base  
Groton, CT 06349-5900

**Director, U.S. Army Human  
Engineering Laboratory**  
**ATTN: Technical Library**  
Aberdeen Proving Ground, MD 21005

**Commander  
Man-Machine Integration System  
Code 602**  
**Naval Air Development Center**  
Warminster, PA 18974

**Commander  
Naval Air Development Center**  
**ATTN: Code 602-B (Mr. Brindle)**  
Warminster, PA 18974

**Commanding Officer  
Armstrong Laboratory**  
**Wright-Patterson**  
Air Force Base, OH 45433-6573

**Director**  
**Army Audiology and Speech Center**  
**Walter Reed Army Medical Center**  
Washington, DC 20307-5001

**Commander/Director  
U.S. Army Combat Surveillance  
and Target Acquisition Lab**  
**ATTN: DELCS-D**  
Fort Monmouth, NJ 07703-5304

**Commander, U.S. Army Institute  
of Dental Research**  
**ATTN: Jean A. Setterstrom, Ph. D.**  
**Walter Reed Army Medical Center**  
Washington, DC 20307-5300

**Commander, U.S. Army Test and Evaluation Command**  
**ATTN: AMSTE-AD-H**  
**Aberdeen Proving Ground, MD 21005**

**Naval Air Systems Command**  
**Technical Air Library 950D**  
**Room 278, Jefferson Plaza II**  
**Department of the Navy**  
**Washington, DC 20361**

**Director**  
**U.S. Army Ballistic Research Laboratory**  
**ATTN: DRXBR-OD-ST Tech Reports**  
**Aberdeen Proving Ground, MD 21005**

**Commander**  
**U.S. Army Medical Research Institute of Chemical Defense**  
**ATTN: SGRD-UV-AO**  
**Aberdeen Proving Ground, MD 21010-5425**

**Commander, U.S. Army Medical Research and Development Command**  
**ATTN: SGRD-RMS (Ms. Madigan)**  
**Fort Detrick, Frederick, MD 21702-5012**

**Director**  
**Walter Reed Army Institute of Research**  
**Washington, DC 20307-5100**

**HQ DA (DASG-PSP-O)**  
**5109 Leesburg Pike**  
**Falls Church, VA 22041-3258**

**Harry Diamond Laboratories**  
**ATTN: Technical Information Branch**  
**2800 Powder Mill Road**  
**Adelphi, MD 20783-1197**

**U.S. Army Materiel Systems Analysis Agency**  
**ATTN: AMXSY-PA (Reports Processing)**  
**Aberdeen Proving Ground**  
**MD 21005-5071**

**U.S. Army Ordnance Center and School Library**  
**Simpson Hall, Building 3071**  
**Aberdeen Proving Ground, MD 21005**

**U.S. Army Environmental Hygiene Agency**  
**Building E2100**  
**Aberdeen Proving Ground, MD 21010**

**Technical Library Chemical Research and Development Center**  
**Aberdeen Proving Ground, MD**  
**21010-5423**

**Commander**  
**U.S. Army Medical Research Institute of Infectious Disease**  
**SGRD-UIZ-C**  
**Fort Detrick, Frederick, MD 21702**

**Director, Biological Sciences Division**  
**Office of Naval Research**  
**600 North Quincy Street**  
**Arlington, VA 22217**

**Commander**  
**U.S. Army Materiel Command**  
**ATTN: AMCDE-XS**  
**5001 Eisenhower Avenue**  
**Alexandria, VA 22333**

**Commandant**  
**U.S. Army Aviation Logistics School**  
**ATTN: ATSQ-TDN**  
**Fort Eustis, VA 23604**

**Headquarters (ATMD)**  
**U.S. Army Training**  
**and Doctrine Command**  
**ATTN: ATBO-M**  
**Fort Monroe, VA 23651**

**IAF Liaison Officer for Safety**  
**USAF Safety Agency/SEFF**  
**9750 Avenue G, SE**  
**Kirtland Air Force Base**  
**NM 87117-5671**

**Naval Aerospace Medical**  
**Institute Library**  
**Building 1953, Code 03L**  
**Pensacola, FL 32508-5600**

**Command Surgeon**  
**HQ USCENTCOM (CCSG)**  
**U.S. Central Command**  
**MacDill Air Force Base, FL 33608**

**Air University Library**  
**(AUL/LSE)**  
**Maxwell Air Force Base, AL 36112**

**U.S. Air Force Institute**  
**of Technology (AFIT/LDEE)**  
**Building 640, Area B**  
**Wright-Patterson**  
**Air Force Base, OH 45433**

**Henry L. Taylor**  
**Director, Institute of Aviation**  
**University of Illinois-Willard Airport**  
**Savoy, IL 61874**

**Chief, National Guard Bureau**  
**ATTN: NGB-ARS (COL Urbauer)**  
**Room 410, Park Center 4**  
**4501 Ford Avenue**  
**Alexandria, VA 22302-1451**

**Commander**  
**U.S. Army Aviation Systems Command**  
**ATTN: SGRD-UAX-AL**  
**4300 Goodfellow Blvd., Building 105**  
**St. Louis, MO 63120**

**U.S. Army Aviation Systems Command**  
**Library and Information Center Branch**  
**ATTN: AMSAV-DIL**  
**4300 Goodfellow Boulevard**  
**St. Louis, MO 63120**

**Federal Aviation Administration**  
**Civil Aeromedical Institute**  
**Library AAM-400A**  
**P.O. Box 25082**  
**Oklahoma City, OK 73125**

**Commander**  
**U.S. Army Academy**  
**of Health Sciences**  
**ATTN: Library**  
**Fort Sam Houston, TX 78234**

**Commander**  
**U.S. Army Institute of Surgical Research**  
**ATTN: SGRD-USM (Jan Duke)**  
**Fort Sam Houston, TX 78234-6200**

**AAMRL/HEX**  
**Wright-Patterson**  
**Air Force Base, OH 45433**

**John A. Dellinger,**  
**Southwest Research Institute**  
**P. O. Box 28510**  
**San Antonio, TX 78284**

**Product Manager**  
**Aviation Life Support Equipment**  
**ATTN: AMCPM-ALSE**  
**4300 Goodfellow Boulevard**  
**St. Louis MO 63120-1798**

**Commander and Director**  
**USAE Waterways Experiment Station**  
**ATTN: CEWES-IM-MI-R**  
Alfrieda S. Clark, CD Department  
3909 Halls Ferry Road  
Vicksburg, MS 39180-6199

**Commanding Officer**  
**Naval Biodynamics Laboratory**  
P.O. Box 24907  
New Orleans, LA 70189-0407

**Assistant Commandant**  
**U.S. Army Field Artillery School**  
**ATTN: Morris Swott Technical Library**  
Fort Sill, OK 73503-0312

**Mr. Peter Seib**  
Human Engineering Crew Station  
Box 266  
Westland Helicopters Limited  
Yeovil, Somerset BA20 2YB UK

**U.S. Army Dugway Proving Ground**  
Technical Library, Building 5330  
Dugway, UT 84022

**U.S. Army Yuma Proving Ground**  
Technical Library  
Yuma, AZ 85364

**AFFTC Technical Library**  
6510 TW/TSTL  
Edwards Air Force Base,  
CA 93523-5000

**Commander**  
Code 3431  
Naval Weapons Center  
China Lake, CA 93555

**Aeromechanics Laboratory**  
**U.S. Army Research and Technical Labs**  
Ames Research Center, M/S 215-1  
Moffett Field, CA 94035

**Sixth U.S. Army**  
**ATTN: SMA**  
Presidio of San Francisco, CA 94129

**Commander**  
**U.S. Army Aeromedical Center**  
Fort Rucker, AL 36362

**Strughold Aeromedical Library**  
Document Service Section  
2511 Kennedy Circle  
Brooks Air Force Base, TX 78235-5122

**Dr. Diane Damos**  
Department of Human Factors  
ISSM, USC  
Los Angeles, CA 90089-0021

**U.S. Army White Sands**  
Missile Range  
**ATTN: STEWS-IM-ST**  
White Sands Missile Range, NM 88002

**U.S. Army Aviation Engineering**  
Flight Activity  
**ATTN: SAVTE-M (Tech Lib)** Stop 217  
Edwards Air Force Base, CA 93523-5000

**Ms. Sandra G. Hart**  
Ames Research Center  
MS 262-3  
Moffett Field, CA 94035

**Commander, Letterman Army Institute**  
of Research  
**ATTN: Medical Research Library**  
Presidio of San Francisco, CA 94129

**Commander**  
**U.S. Army Medical Materiel**  
**Development Activity**  
**Fort Detrick, Frederick, MD 21702-5009**

**Commander**  
**U.S. Army Health Services Command**  
**ATTN: HSOP-SO**  
**Fort Sam Houston, TX 78234-6000**

**U. S. Army Research Institute**  
**Aviation R&D Activity**  
**ATTN: PERI-IR**  
**Fort Rucker, AL 36362**

**Commander**  
**U.S. Army Safety Center**  
**Fort Rucker, AL 36362**

**U.S. Army Aircraft Development**  
**Test Activity**  
**ATTN: STEBG-MP-1**  
**Cairns Army Air Field**  
**Fort Rucker, AL 36362**

**Commander, U.S. Army Medical Research**  
**and Development Command**  
**ATTN: SGRD-PLC (COL Schnakenberg)**  
**Fort Detrick, Frederick, MD 21702**

**TRADOC Aviation LO**  
**Unit 21551, Box A-209-A**  
**APO AE 09777**

**Netherlands Army Liaison Office**  
**Building 602**  
**Fort Rucker, AL 36362**

**British Army Liaison Office**  
**Building 602**  
**Fort Rucker, AL 36362**

**Italian Army Liaison Office**  
**Building 602**  
**Fort Rucker, AL 36362**

**Directorate of Training Development**  
**Building 502**  
**Fort Rucker, AL 36362**

**Chief**  
**USAHEL/USAADVNC Field Office**  
**P. O. Box 716**  
**Fort Rucker, AL 36362-5349**

**Commander, U.S. Army Aviation Center**  
**and Fort Rucker**  
**ATTN: ATZQ-CG**  
**Fort Rucker, AL 36362**

**Chief**  
**Test & Evaluation Coordinating Board**  
**Cairns Army Air Field**  
**Fort Rucker, AL 36362**

**MAJ Terry Newman**  
**Canadian Army Liaison Office**  
**Building 602**  
**Fort Rucker, AL 36362**

**German Army Liaison Office**  
**Building 602**  
**Fort Rucker, AL 36362**

**French Army Liaison Office**  
**USAADVNC (Building 602)**  
**Fort Rucker, AL 36362-5021**

**Australian Army Liaison Office**  
**Building 602**  
**Fort Rucker, AL 36362**

Dr. Garrison Rapmund  
6 Burning Tree Court  
Bethesda, MD 20817

Commandant, Royal Air Force  
Institute of Aviation Medicine  
Farnborough, Hampshire GU14 6SZ UK

Commander  
U.S. Army Biomedical Research  
and Development Laboratory  
ATTN: SGRD-UBZ-I  
Fort Detrick, Frederick, MD 21702

Defense Technical Information  
Cameron Station, Building 5  
Alexandra, VA 22304-6145

Commander, U.S. Army Foreign Science  
and Technology Center  
AIFRTA (Davis)  
220 7th Street, NE  
Charlottesville, VA 22901-5396

Director,  
Applied Technology Laboratory  
USARTL-AVSCOM  
ATTN: Library, Building 401  
Fort Eustis, VA 23604

Commander, U.S. Air Force  
Development Test Center  
101 West D Avenue, Suite 117  
Eglin Air Force Base, FL 32542-5495

Aviation Medicine Clinic  
TMC #22, SAAF  
Fort Bragg, NC 28305

Dr. H. Dix Christensen  
Bio-Medical Science Building, Room 753  
Post Office Box 26901  
Oklahoma City, OK 73190

Commander, U.S. Army Missile  
Command  
Redstone Scientific Information Center  
ATTN: AMSMI-RD-CS-R  
/ILL Documents  
Redstone Arsenal, AL 35898

Director  
Army Personnel Research Establishment  
Farnborough, Hants GU14 6SZ UK

U.S. Army Research and Technology  
Laboratories (AVSCOM)  
Propulsion Laboratory MS 302-2  
NASA Lewis Research Center  
Cleveland, OH 44135

COL John F. Glenn  
U.S. Army Medical Research  
& Development Command  
SGRD-ZC  
Fort Detrick, Frederick, MD 21702-5012

Dr. Eugene S. Channing  
7985 Schooner Court  
Frederick, MD 21701-3273

USAMRDC Liaison at Academy  
of Health Sciences  
ATTN: HSHA-ZAC-F  
Fort Sam Houston, TX 78234

Dr. A. Kornfield, President  
Biosearch Company  
3016 Revere Road  
Drexel Hill, PA 29026

NVEOD  
AMSEL-RD-ASID  
(Attn: Trang Bui)  
Fort Belvoir, VA 22060

CA Av Med  
HQ DAAC  
Middle Wallop  
Stockbridge, Hants S020 8DY UK

Dr. Christine Schlichting  
Behavioral Sciences Department  
Box 900, NAVUBASE NOLON  
Groton, CT 06349-5900

COL C. Fred Tyner  
U.S. Army Medical Research  
& Development Command  
SGRD-ZB  
Fort Detrick, Frederick, MD 21702-5012

Director  
Directorate of Combat Developments  
ATZQ-CD  
Building 515  
Fort Rucker, AL 36362